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**BEFORE THE ARIZONA CORPORATION COMMISSION**COMMISSIONERS

Arizona Corporation Commission

ROBERT "BOB" BURNS - Chairman  
ANDY TOBIN  
BOYD DUNN  
SANDRA D. KENNEDY  
JUSTIN OLSON

**DOCKETED**

MAY 29 2019

DOCKETED BY

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In the matter of

Kyun Kim (CRD #2864085),

Respondents.

DOCKET NO. S-21068A-18-0417

DECISION NO. 77197

**ORDER OF REVOCATION**

On December 31, 2018, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed an Amended Notice of Opportunity for Hearing Regarding Proposed Order of Revocation ("Notice") against Respondent Kyun Kim ("Kim").

On March 28, 2019, the Division served a copy of the Notice, upon Kim, by delivering to Kim via personal service. No request for a hearing or answer to the Notice has been filed as of May 2, 2019.

**I.****FINDINGS OF FACT**

1. Kim was registered with the Commission as a securities salesman since January 1, 2007, except for when he changed employments, and voluntarily terminated his registration on April 18, 2017.

2. Kim was licensed with the Commission as an investment adviser representative on May 5, 2016, through his employment with Sandlapper Wealth Management, LLC ("Sandlapper"). Sandlapper filed a Uniform Termination Notice for Securities Industry Registration, Form U5 on or about August 31, 2018, which terminated Kim's license as an investment adviser representative.



1 adviser representative, under A.R.S. § 44-3202(D), Kim continues to be subject to the Commission's  
2 jurisdiction for two years after the termination of his license for denial, suspension or revocation of  
3 his license in connection with conduct that began before the termination of his license.

4 **III.**

5 **ORDER**

6 THEREFORE, on the basis of the Findings of Fact, and Conclusions of Law, the Commission  
7 finds that the following relief is appropriate, in the public interest, and necessary for the protection  
8 of investors:

9 IT IS ORDERED, pursuant to A.R.S. §§ 44-1962(A)(8) and 44-1963(D), that Kim's  
10 registration as a securities salesman is revoked.

11 IT IS FURTHER ORDERED, pursuant to A.R.S. §§ 44-3201(A)(10) and 44-3202(D), that  
12 Kim's license as an investment adviser representative is revoked.

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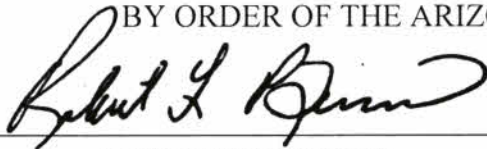
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IT IS FURTHER ORDERED that this Order shall become effective immediately.

BY ORDER OF THE ARIZONA CORPORATION COMMISSION



CHAIRMAN BURNS



COMMISSIONER DUNN



COMMISSIONER TOBIN



COMMISSIONER KENNEDY



COMMISSIONER OLSON



IN WITNESS WHEREOF, I, MATTHEW J. NEUBERT,  
Executive Director of the Arizona Corporation Commission,  
have hereunto set my hand and caused the official seal of the  
Commission to be affixed at the Capitol, in the City of Phoenix,  
this 29 day of May, 2019.



MATTHEW J. NEUBERT  
EXECUTIVE DIRECTOR

DISSENT

DISSENT

This document is available in alternative formats by contacting Kacie Cannon, ADA Coordinator,  
voice phone number (602) 542-3931, e-mail [kcannon@azcc.gov](mailto:kcannon@azcc.gov).

(ML)

SERVICE LIST FOR: *In the matter of Kyusun Kim*

**Kyusun Kim**

16131 Cayenne Creek Rd.  
San Diego, CA 92127-3710

1                                   **BEFORE THE ARIZONA CORPORATION COMMISSION**

2                                   COMMISSIONERS

3                   ROBERT "BOB" BURNS – Chairman  
4                   ANDY TOBIN  
5                   BOYD DUNN  
6                   SANDRA D. KENNEDY  
7                   JUSTIN OLSON

In the matter of	)	
Kyusun Kim (CRD #2864085),	)	DOCKET NO. S -21068A-18-0417
Respondents.	)	DECISION NO. <u>77197</u>
	)	
	)	<b>CERTIFICATION OF SERVICE OF</b>
	)	<b>PROPOSED OPEN MEETING AGENDA</b>
	)	<b>ITEM</b>

12       On this 7<sup>th</sup> day of May, 2019, the foregoing document was filed with Docket Control as a  
13       Securities Division Memorandum & Proposed Order, and copies of the foregoing were mailed on  
14       behalf of the Securities Division to the following who have not consented to email service. On this  
15       date or as soon as possible thereafter, the Commission's eDocket program will automatically email  
16       a link to the foregoing to the following who have consented to email service.

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18       Kyusun Kim  
19       16131 Cayenne Creek Rd.  
20       San Diego, CA 92127-3710  
21       Respondent

22       By: Emie R. Bridges  
23       Emie R. Bridges, Executive Assistant